

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/592,912
				Filing Date	September 15, 2006
				First Named Inventor	Xiu-Min Li
				Art Unit	1655
				Examiner Name	Randall O. Winston
Sheet	1	of	1	Attorney Docket Number	2005577-0009

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1*	US-6,630,176 B2	10-07-2003	Li et al.	
	A2*	US-6,503,542	01-07-2003	Sheu	
	A3*	US-6,686,502	02-03-2004	Cai et al.	
	A4*	US-6,696,440	02-24-2004	Bridges et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁰
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B1	JP-2000-0044481 A	02-15-2000			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ** CITE NO.: Those document(s) which are marked with an double asterisk (**) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov/patents/forms/docs/kinds_codes.pdf or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	European Search Report, App. No. EP 05 72 5636, Int'l Pub. No., PCT/US2005/008600, date of mailing July 2, 2009.	
	C2	International Search Report, PCT/US2005/008600, date of mailing September 16, 2005.	
	C3	Written Opinion, PCT/US2005/008600, date of mailing September 16, 2005.	
	C4	LI et al., "The Chinese herbal medicine formula MSSM-002 suppresses allergic airway hyperreactivity and modulates TH1/TH2 responses in a murine model of allergic asthma," <i>Journal of Allergy and Clinical Immunology</i> 6(4):660-668 (2000).	
	C5	SZELENYI et al., "Herbal remedies for asthma treatment: between myth and reality," <i>Drugs of Today / Medicamentos de Actualidad</i> 38(4):273-274 (2002).	
	C6	LIN et al., "Dimerization of the N-terminal amphipathic alpha-helix domain of the fungal immunomodulatory protein from <i>Ganoderma tsugae</i> (Fip-gts) defined by a yeast two-hybrid system and site-directed mutagenesis," <i>Journal of Biological Chemistry</i> 272(32):20044-20048 (1997).	
	C7	BIELORY et al., "Herbal interventions in asthma and allergy," <i>Journal of Asthma</i> 36(1):1-65 (1999).	
	C8	LI et al., "Induction of pulmonary allergic responses by antigen-specific Th2 cells," <i>Journal of Immunology</i> 160(3):1378-1384 (1998).	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ** CITE NO.: Those document(s) which are marked with an double asterisk (**) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120.

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4510375v 1	/Randall Winston/	Date Considered	08/19/2009
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